Change Agent • noun
Individual or organization working to lower costs, improve care, and make Colorado healthier.

Change Agents across Colorado break down barriers firmly entrenched in our health care system. With boundless passion and limited resources, they increase access to care, implement innovative ways to deliver high quality care at affordable prices, and day in and day out, fight to keep Coloradans healthy.

It is CIVHC’s privilege to serve all those who strive toward a better health system for us all.
WHO IS CIVHC?

The Center for Improving Value in Health Care (CIVHC) is a non-partisan, not-for-profit organization. We believe that individuals and organizations can alter the trajectory of health care.

CIVHC’s mission is to support initiatives working to advance the Triple Aim of lower costs, improved care, and better health for Coloradans. Initially, CIVHC served solely as a convener of diverse stakeholders committed to changing the way care is paid for and delivered. Through work groups and task forces, CIVHC cultivated relationships with likeminded partners who continue to make improvements throughout the state.

In 2010, the Executive Director of the Colorado Department of Health Care Policy and Financing (HCPF) appointed CIVHC the Administrator of the Colorado All Payer Claims Database (CO APCD). Recommended by the Blue Ribbon Commission for Health Care Reform and enabled by House Bill 10-1330, the CO APCD is the state’s most comprehensive claims data set. It can provide insights into the health of Coloradans as well as health care utilization, quality, outcomes, and cost.

The CO APCD is a unique data resource that provides an additional way for CIVHC to support the work of collaborators, beyond consensus building, connection, and promotion of their programs and innovations. Prepared for the Colorado Legislature, this report not only explores the current status of the CO APCD but also details how some of these extraordinary individuals and organizations are using insights from this state resource to change the trajectory of Colorado’s health care system.

WHAT IS THE CO APCD?

The CO APCD is a secure database compliant with all federal privacy laws; at the end of 2016 it contained over 510 million health insurance claims from over 21 commercial health insurance companies, Medicare, Medicare Advantage, and Health First Colorado (Colorado’s Medicaid Program). The complexity and scale of the database grow each month. It is the only claims repository in the state that represents the majority of insured lives in Colorado, with more than five years of data, it offers a more complete picture of our health care system than other available sources of claims information.

Coloradans both generate and benefit from CO APCD data. Coloradans who have health insurance generate CO APCD data when they receive a health care service at their provider’s office, a clinic, a hospital, laboratory, or pharmacy. After they receive the service, their provider files a claim with an insurance company. This claim contains important information including cost, location, diagnosis, and services rendered. Once the insurance company pays the claim to the provider, the insurance company then securely submits the paid, adjudicated claim to the CO APCD in keeping with patient privacy laws. Once the claim has been processed and analyzed, it goes into the CO APCD data warehouse with patient identifier information removed. These claims can provide valuable insights on how Colorado is paying for and using health care. CIVHC then makes this information available to Colorado researchers, state agencies, advocacy organizations, consumers, nonprofits, and others working to improve health care and lower costs for the benefit of Colorado residents.
Who is CIVHC?
What is the CO APCD?
Successes
Opportunities
Costs
Future Enhancements

OVERVIEW

Public CO APCD Analysis
One of CIVHC’s primary roles as the Administrator of the CO APCD is to normalize and make sense of the millions of lines of claims data received every month and turn them into actionable information. Increasing access to transparent health care data is foundational to CIVHC’s work and to Coloradans’ ability to make informed decisions that will have lasting benefit to their overall health. Public data releases, interactive maps and charts, and analyses available on the CO APCD Website (www.comedprice.org) are some of the tools CIVHC employs to bring transparency to the health care marketplace. The CO APCD website also enables Coloradans to shop for location-specific price and quality information for services like having a baby or getting a knee replacement.

Custom CO APCD Analysis
CIVHC provides custom data sets and reports to requestors seeking to advance the Triple Aim. Every release of data must benefit Colorado, as mandated by the enabling statute of the CO APCD. Entities across the health care spectrum have used CO APCD data to improve the lives of Coloradans; examples include Denver Health, Children’s Hospital, Colorado Health Institute, and Health First Colorado.

Data Requestors in 2016

Total Requests Fulfilled: 114

Health Plans • 1
Hospitals & Providers • 6
Academics & Researchers • 7
Non-Profits & Other • 28

State Agencies • 72

2016 was focused on enhancing the foundation of the CO APCD and clearing the way for future innovation. CIVHC strengthened internal data quality and analytics capabilities while gaining external input on how to elevate the value of the CO APCD to the Colorado health care landscape.
**SUCCESSES**

**New Data Vendors**

CIVHC’s nationwide search for new vendors for data warehouse management and analytics reporting spanned both 2015 and 2016. After a robust RFP and search committee process, the Human Services Research Institute (HSRI) and the independent research organization, NORC, at the University of Chicago, were selected to provide data processing and storage as well as advanced analytics for the CO APCD. The CIVHC team spent the final months of 2016 working to ensure a seamless transition between vendors and establishing new analytic rules, expanded reporting capabilities, and benchmarks.

**New CO APCD Vendor Comparison**

(Full transition planned for July 2017)

<table>
<thead>
<tr>
<th>New Vendor Capabilities</th>
<th>Current Environment</th>
<th>New Summer 2017</th>
<th>Impact</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Data Management</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Data Refreshes</td>
<td>90-120 days</td>
<td>✓</td>
<td>30 days</td>
</tr>
<tr>
<td>Intake and error reporting process</td>
<td>No automation, several month lag</td>
<td>✓</td>
<td>Automated, near real-time</td>
</tr>
<tr>
<td>Data Quality Services</td>
<td>None, CIVHC conducted internally</td>
<td>✓</td>
<td>Comprehensive Data Quality Services</td>
</tr>
<tr>
<td>Data Discovery</td>
<td>None, CIVHC conducted internally</td>
<td>✓</td>
<td>Extensive experience with identification and correction</td>
</tr>
<tr>
<td>Medicare Qualified Entity Program Cert Execution</td>
<td>Slow (9 months)</td>
<td>✓</td>
<td>Process complete in 3 months</td>
</tr>
<tr>
<td>Separation of Medicare Fee-For-Service and Medicare Advantage claims</td>
<td>Does not have capability</td>
<td>✓</td>
<td>Able to separate in warehouse</td>
</tr>
</tbody>
</table>

| **Analytic and Access Services**      |                     |                | Greatly enhance ability to expand access to low-cost, actionable, user-friendly reports and CO APCD data |
| Data Visualization                    | None                | ✓              | Reporting via Tableau                                                  |
| Data Extracts                         | Up to 10/mo         | ✓              | Up to 150 per year                                                    |
| Standard Reports                      | None                | ✓              | 300 Standard Reports                                                  |
| Custom Reports                        | 1/year              | ✓              | Up to 150 per year                                                    |
| Online Portal Access                  | None                | ✓              | Access to data online                                                 |
Scholarship Renewed
The Colorado General Assembly renewed the CO APCD Scholarship Fund established in 2014, allocating funds to offset the cost of data for requestors with limited resources. The Colorado Department of Health Care Policy and Financing administers the funds, and requestors must meet specific criteria in order to be considered for the scholarship.

Scholarship Recipients for 2016*

| University of Colorado Department of Health Care Policy and Financing | Pueblo Triple Aim Aurora Research Institute |
| Colorado Department of Public Health and the Environment | Coalition for Sepsis Survival |
| Colorado Commission for Affordable Health Care | Project Angel Heart |
| Children’s Hospital | Senator Kefalas |
| Northwest Colorado Community Health Partnership | Liver Health Connection |
| Colorado Office of Behavioral Health | Mesa County |

*22 total data requestors received scholarship funding in 2016. Some entities received multiple awards.

Participation in National Grants
CO APCD data informed local and national efforts including Colorado’s State Innovation Model supported by the Center for Medicare & Medicaid Innovation as well as studies funded by the Robert Wood Johnson Foundation and the National Bureau of Economic Research.

Public Reporting
In 2016, CIVHC released three impactful Spot Analyses identifying healthcare cost drivers and opportunities to save money. These publications help inform Change Agents across the spectrum and provide concrete examples of the power and value of the CO APCD. Copies of each are included in the Appendices of this report.

Consumers and those working in public or population health got new CO APCD data in 2016 to help them advance the Triple Aim. CIVHC added new measures to the CO APCD website and updated the years for which data is available.

2016 Website Updates
2013-2014 Medicaid and Commercial Claims

New Features and Measures
Views by Health Statistics Regions
Observation Stays
Chronic Condition Prevalence (Hypertension and Depression)
Preventive Care Measures (Breast Cancer, Cervical Cancer, Colorectal Screening, and Diabetes Care LDL-C Testing)
National Recognition for Price Transparency

The breadth of information that CIVHC provides, publicly and through custom requests, surpasses that of most state APCDs. Colorado ranks in the top three states for the degree of consumer access to price information available on www.comedprice.org and received an “A” grade in pricing transparency from the Catalyst for Payment Reform and Healthcare Cost Incentives Improvement Institute in 2016.1

OPPORTUNITIES

Data Discovery

CIVHC implemented the CO APCD in 2012 and established specific rules regarding what information would be included on the claims submitted by the payers and the procedures for processing claims for inclusion in the data warehouse. The CIVHC Data and Analytics team evaluates and refines these rules each year, streamlining procedures and ensuring that the CO APCD contains the highest quality data possible.

In 2015, CIVHC added quality control analysts who applied greater levels of quality review to identify any discrepancies in payer submission and processing that required attention. The CIVHC team worked with the data warehouse manager and the payers to develop and apply solutions necessary to meet CIVHC’s rigorous data quality standards. CIVHC continues to enhance this stringent review of data while digging deeper into all levels of the data. Further improvements in upfront payer submission processing, business rules, and analytic expansions have been incorporated with the new data vendors.

Self-Insured Supreme Court Decision

Self-insured claims represent approximately 30 percent of insured lives in Colorado and historically have posed a significant gap for the CO APCD. Via a rule change promulgated by the Department of Health Care Policy and Financing (HCPF) in June 2015, the definition of “payer” in the CO APCD statute was expanded to include self-insured employers.

Submission of self-insured claims to the CO APCD began in January 2016. However, on March 1, 2016, the United States Supreme Court ruled that states could not mandate submission of claims data from self-insured Employee Retirement Income Security Act (ERISA) plans to APCDs. CIVHC worked with HCPF and the Colorado Attorney General to bring the 2015 rule regarding self-insured payers into compliance with the Federal decision. The updated rule removes the mandate that self-insured ERISA employer plans must submit to the CO APCD. Non-ERISA employer plans (e.g., state, city and school district employers) must continue to submit to the CO APCD. The updated rule was effective July 31, 2016.

Though the ruling reduces the mandated number of claims to be submitted by self-insured ERISA employers, they are still able to voluntarily submit data to the CO APCD. To this end, CIVHC continues to educate employers on the value of submitting claims to the CO APCD via one-to-one outreach and alignment with employer-focused community organizations and health plan brokers.
**Who is CIVHC?**

**What is the CO APCD?**

**Successes**

**Opportunities**

**Costs**

**Future Enhancements**

---

**OVERVIEW**

---

**COST OF ADMINISTERING THE CO APCD**

Cost of administering the CO APCD FY16 - $3.8 million

<table>
<thead>
<tr>
<th>Revenue</th>
<th>Data Access Fee Schedule</th>
</tr>
</thead>
<tbody>
<tr>
<td>CO APCD Earned Revenue - $2.4 million</td>
<td>Standard Reports (NEW) - Start at $500</td>
</tr>
<tr>
<td>CO APCD Grant Revenue - $1.4 million</td>
<td>Custom Reports - $1,500 - $20,000</td>
</tr>
<tr>
<td>Total CO APCD Revenue Taken In - $3.8 million</td>
<td>De-Identified &amp; Limited Datasets - $15,000 - $50,000</td>
</tr>
</tbody>
</table>

---

**GRANTS EARNED**

|$1.4M$ |

---

The CO APCD receives no direct, ongoing operational state funding; the enabling statute specifies that all funds must be raised by the Administrator. Generous capacity-building grants from HCPF, The Colorado Trust, and the Colorado Health Foundation have enabled CIVHC to develop, implement, and grow the CO APCD, contingent on its becoming a self-sustainable resource. CIVHC is pleased that the funding of the transition to the new data vendor, new reporting capabilities, and processing enhancements are all being funded with CIVHC reserves and are expected to be completed by June 2017.

As the demand for data has increased, so have operational costs. The CO APCD operating budget was $2.7 million in fiscal year 2015 (July 2014 – June 2015) and increased to $3.8 million in fiscal years 2016 and 2017. Annual operating costs cover maintenance, analytics, data and analytic staff, continued data onboarding, and developing and releasing CO APCD public resources. Additional operational and programmatic funding from the Colorado Health Foundation and The Colorado Trust continues through 2018, offering a glide path to full sustainability. CIVHC strives to address operational funding shortfalls through delivery of non-public releases of data including providing data to local and national grant projects.

While the number of custom requests for data increases annually, and financial assistance provided by the CO APCD scholarship fund greatly supports organizations with resource limitations, it remains difficult for many organizations to cover the costs associated with data extracts, analytics, and services. As a result, there continues to be an inherent tension between CIVHC’s desire and mandate to make data available and the need to create a sustainable CO APCD business model.

---

**THE CO APCD IN 2017**

Since implementation in 2012, the CO APCD has received national recognition for its design and capabilities. This national recognition continues, and as we look toward 2017, CIVHC is committed to maximizing the value of this important state resource and implementing new ways to provide actionable CO APCD data to Colorado.

**New Data Vendors**

CIVHC’s new data vendors, HSRI and NORC, will be fully in place and operating in the spring of 2017. These new additions to the CIVHC team will bring quicker database updates and increased analytic capabilities. As data vendors to Maine’s APCD, they both have extensive experience implementing an APCD and will apply new, nationally accepted data processing rules to the CO APCD, thus increasing the power and complexity of the
More Custom Fulfillments

As capacity of the CO APCD grows, so does CIVHC’s ability to provide data to local and national projects. The CIVHC and HSRI/NORC teams and other partners are already hard at work developing new analytic tools, reports, and accessible data portals to help advance the Triple Aim.

Enhanced Public CO APCD Data

CIVHC is excited to announce that plans are underway for a new website, combining www.civhc.org, www.comedprice.org, and www.comedpriceshowcase.org, as well as a new non-public data portal, into a one-stop shop for information and CO APCD data. The new site will have a fresh and exciting user experience, new measures and updated public information, and will allow stakeholders across the full continuum of health care to access data and investigate ways to better the health care system.

Cross-sector Feedback and Collaboration on Public Reporting

Beginning in 2017, CIVHC is working with the HCPF-appointed CO APCD Advisory Committee to develop topics for public reporting including Spot Analyses and interactive website measures. The Committee is comprised of consumers and stakeholders across the health care spectrum, and their knowledge and expertise will help ensure that publicly available data and reports address topics of high value and importance to Colorado.

Adding Critical Data Sources

The potential of the CO APCD is tremendous, yet CIVHC realizes that claims data only tells part of the health care story in Colorado. By aligning claims data with clinical, socio-economic, geographic, and environmental information, it may be possible to see how the circumstances in patients’ lives affect their health. To increase opportunities for such valuable analysis, CIVHC is collaborating with other organizations to identify ways to marry claims data with non-claims data sources.

Necessary Innovation

The CO APCD provides a neutral, non-partisan guide to help navigate Colorado’s health care landscape. Such a guide is necessary, as major changes in the nation’s health care system loom on the horizon. More than ever before, data and information are increasingly critical for adaptability and survival. CIVHC is proud to serve Change Agents, large or small, as we all work toward lower costs, better care, and a healthier Colorado.
Change Agents come in all shapes and sizes. From public health organizations to rural physician practices, from policymakers in state agencies to consumers hunting for health care at the kitchen table, CIVHC strives to serve each and every one.

The following gallery highlights those using CO APCD data for the betterment of all Coloradans.
LOWER COST

The national health care system is rooted in traditional Fee for Service (FFS) payment models that drive up the cost of care without necessarily improving quality and health outcomes. The practice of paying for volume, not value, often results in expensive and unnecessary tests or services instead of more effective and strategic actions to keep patients healthy. In recent years, stakeholders have used CO APCD data to investigate the reasons behind rising health care costs and to find ways to stem the tide.

National Change Agent
NATIONAL BUREAU OF ECONOMIC RESEARCH

Profile

The National Bureau of Economic Research (NBER) was founded in 1920, and is the nation’s leading nonprofit economic research organization. Twenty-four Nobel Prize winners in Economics and thirteen past chairs of the President’s Council of Economic Advisers have been researchers at the NBER.

Project Summary

This project is helping researchers understand how Medicare reimbursement rates impact the prices set by commercial health insurance plans. CO APCD data is being used to identify scenarios where commercial payments are closely tied to Medicare reimbursement rates. Results of this research will provide insights regarding how private health insurance payers set reimbursement rates, potentially leading to a better understanding of the reasons for significant variation in prices for the same service.

Benefit to Colorado

Colorado is an excellent place to study the rate-setting behavior of commercial payers given the large number of insurers and a generally competitive marketplace. A study of reimbursement rate-setting practices has the potential to positively change the health care system by uncovering the reasons for observed price variation. A better understanding of how rate-setting works in Colorado can help policymakers identify potential opportunities to make changes that lower overall health care costs.
National Change Agent
NETWORK FOR REGIONAL HEALTHCARE IMPROVEMENT

Profile

The Network for Regional Healthcare Improvement (NRHI) is a coalition of 35 Regional Health Improvement Collaboratives (RHICs), including CIVHC, spanning the United States. NRHI is committed to supporting RHICs and leading to collaborative, innovative projects that help members improve care in their regions.iii

Project Summary

The Total Cost of Care project implemented standard methods to measure variation in the total cost of care and use of health care services at the practice level across different regions of the U.S. to help physicians identify ways to improve quality and lower costs.iv Using a standard methodology, participating RHICs were able to evaluate which regions have the highest and lowest total costs and the primary factor driving those costs — e.g., utilization or prices. CIVHC participated in this national project by analyzing commercial health plan claims data from the CO APCD data for adult primary care and pediatric physician groups. Over 100 provider groups across the state received detailed reports allowing them to understand how their cost and efficiency performance compares to broader averages and to identify where opportunities may exist to change practice patterns in ways that improve quality and lower costs.

Benefit to Colorado

The scatterplot shows where medical service use (RUI) and the prices paid for care (Pi) of participating practices fall in relation to other practices and the statewide average. The intersection of the horizontal and vertical lines indicates the statewide average for both measures. High-performing practices will have low values for both price index and resource use and occupy the lower left quadrant. As one of seven states participating in this project, Colorado has a lot to gain from the analysis. This information is invaluable, as it provides physicians with data they’ve never had which helps them identify ways they can lower costs and improve care for their patients.
Colorado State Agency Change Agent
COLORADO DIVISION OF INSURANCE

Profile
The Division of Insurance (DOI) regulates and monitors the fully insured, commercial health insurance industry in Colorado. In addition to ensuring that insurance companies and agents abide by all laws, they help consumers understand their insurance.

Project Summary
The DOI used CO APCD data in three ways:
1. To determine and analyze medical service and pharmacy trends in order to ensure that rate-setting benchmarks for 2017 were accurate.
2. To evaluate the nine current geographic regions that determine residents’ insurance rates against different configurations, including one region for the entire state.
3. To analyze what is driving health care costs at a county level.

Benefit to Colorado
Total cost of care per member per year (PMPY) is calculated by adding the total amount of payments made by payers to health care providers to the total amount paid by the member (deductibles, copays, and coinsurance). The DOI analysis indicates that the during both 2014 and 2015 there was significant variation in the cost to treat patients in Boulder and how much it costs to treat patients annually in the Western portion of the state.

These types of analyses help the DOI safeguard Coloradans’ health insurance by providing necessary information for the rate-review process. Without these benchmarks, it would be difficult to determine whether proposed rates by health insurance payers were equitable for each particular region.

Commercial Insurance Total Health Cost Comparisons (CO APCD)
Recent studies have shown that residents in certain areas of Colorado pay more for certain procedures, and based on this information, it is tempting to conclude that all health care costs in those regions are higher than those in the rest of the state.

However, a CIVHC analysis of CO APCD data demonstrates that it is impossible to draw general conclusions about health care prices based on geography or volume of services performed. Median payments made by commercial health insurance companies and their members suggest that while one health care service may be particularly high-cost for one region in the state, other services in that region may be right in line with or actually lower than the state average.

The table below shows that while Coloradans living in the Northeast region of the state paid over $15,000 more than the statewide median for dorsal/lumbar spinal surgery, and over $36,200 and $25,000 more than the statewide average for hip and knee replacement respectively, they were not the highest-cost region for colonoscopies or head CTs. Additionally, the table also reflects that, despite conventional wisdom, prices were highest in the lowest-volume regions for only six of the ten services analyzed, and only five of the ten lowest cost regions reflected the highest volumes.

These analyses indicate that there is more driving health care prices than simply geography and procedure volume. Payments vary based on an assortment of factors in addition to geography and volume: including cost of living, demographics of the population, extent of provider networks, and degree of health plan and provider competition. This data allows stakeholders to understand where geographic payment discrepancies exist for specific service categories and informs community-level discussions aimed at reducing prices for all Coloradans.

### Highest and Lowest Median Paid Amount Regions Compared to CO Statewide Median, CO APCD 2014

<table>
<thead>
<tr>
<th>Category</th>
<th>Procedure</th>
<th>Highest Paid Region</th>
<th>Amount Above State Median</th>
<th>State Median Paid Amount</th>
<th>Amount Below State Median</th>
<th>Lowest Paid Region</th>
</tr>
</thead>
<tbody>
<tr>
<td>Orthopedic Surgery</td>
<td>Hip Joint Replacement</td>
<td>Northeast</td>
<td>$36,200</td>
<td>$24,800</td>
<td>$11,400</td>
<td><strong>Denver (HV)</strong></td>
</tr>
<tr>
<td></td>
<td>Knee Joint Replacement</td>
<td>Northeast</td>
<td>$25,100</td>
<td>$26,800</td>
<td>$1,700</td>
<td><strong>Denver (HV)</strong></td>
</tr>
<tr>
<td></td>
<td>Dorsal/Lumbar Surgery</td>
<td>*Northeast (LV)</td>
<td>$15,100</td>
<td>$63,200</td>
<td>$-48,100</td>
<td>CO Springs</td>
</tr>
<tr>
<td>Colonoscopy</td>
<td>Diagnostic Colonoscopy</td>
<td>*Central Mountain (LV)</td>
<td>$1,200</td>
<td>$700</td>
<td>$-520</td>
<td>CO Springs</td>
</tr>
<tr>
<td></td>
<td>Colonoscopy/Biopsy</td>
<td>*Central Mountain (LV)</td>
<td>$1,400</td>
<td>$1,100</td>
<td>$-300</td>
<td>CO Springs</td>
</tr>
<tr>
<td></td>
<td>Colonoscopy w/Lesion</td>
<td>*Central Mountain (LV)</td>
<td>$2,300</td>
<td>$900</td>
<td>$-640</td>
<td>CO Springs</td>
</tr>
<tr>
<td>Imaging</td>
<td>CT Head/Brain</td>
<td>*Central Mountain (LV)</td>
<td>$400</td>
<td>$800</td>
<td>$-400</td>
<td><strong>Denver (HV)</strong></td>
</tr>
<tr>
<td></td>
<td>CT Abdomen/Pelvis</td>
<td>*Central Mountain (LV)</td>
<td>$1,000</td>
<td>$800</td>
<td>$-200</td>
<td>Southeast CO</td>
</tr>
<tr>
<td></td>
<td>MRI</td>
<td>Western Slope</td>
<td>$1,400</td>
<td>$1,100</td>
<td>$-300</td>
<td><strong>Denver (HV)</strong></td>
</tr>
<tr>
<td></td>
<td>Echo Abdomen</td>
<td>CO Springs</td>
<td>$100</td>
<td>$400</td>
<td>$-300</td>
<td>*Central Mountain (LV)</td>
</tr>
</tbody>
</table>

* (LV) Indicates regions with the lowest volume of procedures compared to all other regions analyzed in that time period

** (HV) Indicates regions with the highest volume of procedures compared to all other regions analyzed in that time period
IMPROVED CARE

The United States spends more money on health care than any other nation in the world, yet outcomes are worse than those of other countries. In essence, for all the money spent, Americans are no healthier. Organizations and individuals work tirelessly to change the way care is delivered, so that it focuses on improving patient health and outcomes, is coordinated and timely, and is provided in the most appropriate setting.

National Change Agent
OREGON STATE UNIVERSITY
COLLEGE OF PHARMACY

Profile
The College of Pharmacy is affiliated with both Oregon State University (OSU) and Oregon Health and Sciences University (OHSU).

Project Summary
With opioid overdose deaths rising every year, OSU researchers are investigating ways to end the epidemic. Oregon Medicaid has a daily dose limit on prescribing opioid painkillers to members. Colorado does not. Researchers compared opioid prescription and adverse outcome information between the two states to see if there was a correlation between the prescription restriction and opioid abuse. Results of the study indicated that while the prescription restriction did not reduce opioid overdoses, it did reduce the number of opioid prescriptions.

Benefit to Colorado
This study provides Health First Colorado (Colorado’s Medicaid Program) with evidence of whether a dose restriction policy has any effect on the opioid crisis. Information such as this can inform policy designed to make Coloradans healthier.
Colorado State Agency Change Agent
DENVER REGIONAL COUNCIL OF GOVERNMENTS

Profile

The Denver Regional Council of Governments (DRCOG) brings together officials from Adams, Arapahoe, Broomfield, Boulder, Clear Creek, Denver, Douglas, Gilpin, and Jefferson counties, working together to improve the quality of life for all the region’s residents. DRCOG is the federally designated Area Agency on Aging (AAA) and provides services designed to help senior Coloradans live full and healthy lives. DRCOG sets policy, creates guidelines, and allocates funding for three focus areas:

- Transportation and Personal Mobility
- Growth and Development
- Aging and Disability Resources

Project Summary

By analyzing CO APCD data from a group of individuals who do not receive AAA services compared to those who do, DRCOG is working to determine the influence their work has on the health care utilization and the quality of life of Older Coloradans.

Benefit to Colorado

Data detailing the value of these important services allows DRCOG to be more effective financial stewards of the public dollars they receive each year by making adjustments to existing services. This data supports their ability to demonstrate the financial and health benefits of expanding offerings like Meals on Wheels, respite care, and medical transportation to more Coloradans.

In 2030, over 1.2 million Coloradans will be 65+. 
Non-Profit Change Agent
CHRONIC CARE COLLABORATIVE COLORADO

Profile
The Chronic Care Collaborative (CCC) is a coalition made up of 34 advocacy organizations representing Coloradans with a range of chronic conditions. The CCC works to improve access to quality, affordable, and integrated health care.

Project Summary
Member organizations of the CCC are receiving customized drug dashboards, populated with CO APCD data specific to the drugs that are most relied on and utilized to treat a particular condition. These interactive dashboards display how much Colorado is spending for certain prescription drugs and whether prices are going up or down for health plans and patients.

Benefit to Colorado
The graphics below show the member liability (patient responsibility) trends for one drug being evaluated by a CCC organization between 2012 and 2015. Member liability (total payments made by patients), deductibles, and copays are all trending upwards from 2012 to 2013. Member liability drops in 2015 but still hovers over $4 more per day than in 2012. Coinsurance per day, however, trends downward during all four years analyzed.

This type of trend information helps CCC assess whether costs for their constituents are going up significantly, thereby negatively impacting the patients’ ability to receive the drugs they need. The CCC organizations plan to use this information to advocate for policies and legislative changes that can lower or reduce the cost burden for patients with chronic diseases, ultimately leading to better health.
Public CO APCD Data to Support Change Agents

FREE STANDING EMERGENCY DEPARTMENTS

Different health care settings (physician offices, emergency departments, hospitals, etc.) are designed to treat specific health conditions, and costs between settings reflect the level of care available. Many Coloradans are using emergency departments for non-emergent needs, which costs them and their health plans millions of dollars extra each year.

Free Standing Emergency Departments (FSED) are designed to provide similar levels of emergency care as their hospital-based ED counterparts. Consistent with national trends, Colorado’s FSEDs are primarily located in affluent suburban areas relatively close to Urgent Care centers and traditional emergency departments.

Top 10 Reasons (not ordered by frequency) Colorado Patients Seek Immediate Care Across Settings (2014, Commercial Payers, CO APCD)

CIVHC’s analysis of commercial claims in the CO APCD indicates that of the top 10 reasons Coloradans sought immediate care in identifiable FSEDs in 2014, seven visits were for non-life threatening events. This is in contrast to three out of 10 hospital-based ED visits being non-emergent, suggesting that patients are using FSEDs in ways more similar to urgent care centers than to hospital-based EDs.
Using median payments made by health insurance payers and the median of any deductible, co-pay, or co-insurance paid by patients, CIVHC evaluated costs at Emergency Facilities (FSEDs and hospital-based EDs) compared with those at Urgent Care centers. Results suggest that patients could pay substantially more for treatment at Emergency Facilities.

Receiving care for bronchitis, for example, can cost nearly ten times more at an Emergency Facility. For all non-emergent conditions evaluated, the price tag at an Emergency Facility is at least $400 more than at Urgent Care centers.

Proponents of FSEDs explain that these facilities provide communities essential access to emergency care. Opponents argue that due to their stand-alone buildings and similarity to non-emergency facilities, it is possible for consumers to mistake an FSED for an Urgent Care center and wind up with an unexpectedly large bill. These analyses are vital for stakeholders working to educate Coloradans regarding when and where to seek care, as well as the potential cost implications of their decisions. In fact, a multi-stakeholder group used this analysis and requested a deeper dive analysis under the leadership of Senator John Kefalas in late 2016 to help inform potential legislation being considered in the 2017 Colorado legislative session.
BETTER HEALTH

In 2011, 33 percent of all Coloradans had at least two chronic diseases, and 26 percent of all deaths were due to cardiovascular disease. \textsuperscript{xiv} The health of Coloradans is as varied as the diverse terrain across the state. In order to improve health, researchers and public health agencies are identifying where disparities in chronic diseases and other health conditions exist and are working to design programs geared toward the unique needs of each community.

---

National Change Agent

BRIGHAM AND WOMEN’S HOSPITAL

Profile

Located in Boston, Brigham and Women’s Hospital (BWH) is a major teaching facility affiliated with Harvard University. BWH is an internationally renowned organization, serving patients around the world. \textsuperscript{xv}

Project Summary

Researchers at BWH are estimating the occurrence, treatment, and cost of skin cancer in the United States. CO APCD data is being combined with data from other APCDs and the Centers for Medicare & Medicaid Services. Analysis of the data will indicate the economic impact of skin cancer, determine any care and outcome disparities between regions, and identify possible trends in prevalence and treatment.

Benefit to Colorado

Colorado’s incidence of skin cancer is higher than the nationwide average. \textsuperscript{xvi} BWH’s analysis will be an excellent tool to help inform targeted action to reduce the prevalence of the disease and aid in cost reduction strategies.
Colorado State Agency Change Agent
COLORADO STATE INNOVATION MODEL

Profile
The Center for Medicare & Medicaid Innovation funded Colorado’s State Innovation Model (SIM) program to enhance community health while improving the quality and costs of health care services across the state. Due in great part to our state’s national reputation for broad collaboration, Colorado is one of several states receiving large-scale federal funding over four years to design and implement innovations in local health delivery.

Each Colorado community is unique in its approach to health and health care services. Across the state, the Colorado SIM program seeks to simultaneously engage and assist consumers, providers, and other stakeholders to improve their local health and health care systems. With many local activities happening at the same time, SIM identifies best practices. Spreading best practices across SIM-participating providers, and then to the whole state, can help Coloradans be healthier and have access to better health care services, sooner rather than later.

The Colorado SIM program has four specific goals:
◊ Provide better access to integrated primary care and behavioral health services in coordinated community systems
◊ Apply value-based provider payments that reward better care and service
◊ Expand information technology efforts so that vital health information is ready at the right time and place
◊ Finalize a statewide plan to improve population health, both locally and statewide

Project Summary
As administrator of the Colorado All-Payer Claims Database, CIVHC provides large data sets with up to 500 million records that enable SIM state and national evaluators to objectively measure the trends in incremental value created by SIM innovations.

In addition to big data, CIVHC provides complex analytics and data arrays as directed by the SIM Office and informed by the SIM Evaluation Workgroup. As an example, CIVHC’s expert analysts developed and programmed the SIM primary care Patient Attribution Model that helps to identify over 3 million consumers with their primary care providers.

In addition, CIVHC developed fifteen (15) claims-based clinical quality measures. These measures provide ongoing benchmarking and progress reporting, addressing health issues ranging from chronic conditions like diabetes and asthma to behavioral health concerns such as depression. This project demonstrates how claims data can augment other measures to provide actionable views for many populations in Colorado.
List of Claims-based Quality Measures

<table>
<thead>
<tr>
<th>Anxiety: Screening and Follow-up Plan</th>
<th>High Blood Pressure: Follow-up and Medication Compliance</th>
</tr>
</thead>
<tbody>
<tr>
<td>Asthma: Use of Appropriate Medications</td>
<td>Immunization: Annual Influenza Immunization</td>
</tr>
<tr>
<td>Breast Cancer Screening</td>
<td>Low Back Pain: Use of Imaging Studies</td>
</tr>
<tr>
<td>Childhood: Developmental Screening in the First</td>
<td>Maternal Depression Screening</td>
</tr>
<tr>
<td>Three Years of Life</td>
<td>Obesity: Adult Screening</td>
</tr>
<tr>
<td>Colorectal Cancer Screening</td>
<td>Substance Use: Screening and Intervention</td>
</tr>
<tr>
<td>Depression: Screening and Follow-up Plan</td>
<td>Weight Assessment and Counseling for Nutrition/</td>
</tr>
<tr>
<td>Diabetes: Poor Control and Follow-up Plan</td>
<td>Physical Activity for Children and Adolescents</td>
</tr>
<tr>
<td>Screening for Future Fall Risk</td>
<td></td>
</tr>
</tbody>
</table>

Benefit to Colorado

CIVHC provides uniform data and analytics from the comprehensive Colorado All-Payer Claims Database (CO APCD), allowing for better alignment of program evaluation processes and trend reporting.

With CIVHC’s single SIM patient attribution method applied across the state, local improvement teams can compare their populations to help determine when SIM activities are effective in improving health and lowering costs.

SIM clinical proxy measures provide performance trends in patient population health, and can bring attention to opportunities to improve evidence-based care. Proxy measures portray the clinical performances of SIM program participants compared with the larger Colorado population. In the diabetes-testing measure shown, the early pre-intervention baseline comparison shows almost matching performance by both groups (73.4% vs. 72.3%). Over the four-year SIM program, trends should show a growing performance difference between the SIM participants and the greater Colorado population.

Improving the rate of these basic preventive services allow patients and providers to catch and treat complications early, and can have enormous impacts on individual lives and community health.
Non-Profit Change Agent

SUSAN G. KOMEN® COLORADO

Profile
Susan G. Komen Colorado (Komen Colorado) is an affiliate of the Dallas-based Susan G. Komen Foundation, a non-profit working to find a cure for breast cancer. They serve 22 counties and 73 percent of the state’s population, advocating for those with breast cancer and funding non-profits devoted to screening and research.

Project Summary
With this project, Komen Colorado is analyzing breast cancer screening and treatments costs, as many individuals have identified high prices as a major barrier to care. Additionally, they are investigating geographic variation in breast cancer related expenses.

Benefit to Colorado
The findings of Komen’s analysis will allow the organization to focus their advocacy efforts around cost and efficacy for breast cancer care and to help Coloradans understand costs of breast cancer screening and treatment across the state, giving them the opportunity to seek out care at the best value.

Every 2.3 hours... one woman will be diagnosed with breast cancer in Colorado in 2017
HEPATITIS C IN COLORADO

Hepatitis C is a virus transferred through the blood that can lead to severe liver complications and death. Figure X indicates that the majority of Coloradans with Hepatitis C are between the ages of 51 and 71. The largest portion of the population with the condition lives in urban parts of Colorado, and slightly more men (54 percent) have been diagnosed than women (46 percent). Since the virus often has few noticeable symptoms, the Centers for Disease Control and Prevention (CDC) recommends that everyone in the United States born between 1945 and 1965 be tested. It is estimated that the majority of people with the virus do not know they are infected.

Until recently, the most common treatment for Hepatitis C had significant side effects and was difficult for many patients to tolerate. At the end of 2013, oral medications with fewer serious side effects became available that not only treat but eliminate symptoms. Sovaldi was the first medication to hit the market, and later in 2014, Harvoni became available as the first drug that could eliminate symptoms without the need for a second drug. Both Sovaldi and Harvoni have made headlines for being among the most expensive drugs sold in America.

Treatment trends explored in Figure X show that in 2013, four percent of Coloradans received medication for Hepatitis C prior to the release of the new treatments. When the new drugs entered the marketplace, the number of Coloradans receiving drug treatment increased substantially, with seven percent on the new treatment and four percent remaining on the old regimen.

While the CO APCD does not capture instances when patients receive treatment that is not paid for by a health insurer, this information supports those working in Colorado to reduce the prevalence of Hepatitis C. CIVHC is currently partnering with stakeholders in the field to generate an additional analysis of Hepatitis C in Colorado in order to bring to light the many perspectives surrounding the disease and to investigate barriers to care. Publication is anticipated in spring of 2017.


CHANGE AGENT GRAPHIC SOURCES

**National Bureau of Economic Research (NBER) pg. 9** — Kaiser Family Foundation. Peterson-Kaiser Health System Tracker. Retrieved January 2017, from healthsystemtracker.org: http://www.healthsystemtracker.org/interactive/health-spending-explorer/?display=U.S.%2520%2524%2520Billions&service=All%2520Types%2520of%2520Services&source=Out%2520of%2520Pocket%2520Health%2520Insurance%2520Private%2520Health%2520Insurance%2520


The CO APCD grows in scope and value each year, and as the Administrator, CIVHC continually looks for ways to evolve the database and realize the full potential of this powerful asset. To that end, CIVHC suggests the following regulatory changes:

Proposed CO ACPD Rule Changes

• 1.200.3.D. Payers to submit 30 days after the end of the reporting month (currently 45 days)
• 1.200.5.A. A state agency or private entity engaged in efforts to improve health care quality, value or public health outcomes for Colorado residents may request a specialized report or data set from the APCD by submitting to the administrator a written request detailing the purpose of the project, the methodology, the qualifications of the research entity, and by executing a data use agreement, to comply with the requirements of HIPAA.
• 1.200.5.B. A data release review committee shall review those requests for reports or data sets containing protected health information and shall advise the administrator on whether release of the data is consistent with the statutory purpose of the APCD, will contribute to efforts to improve health care quality, value or public health outcomes for Colorado residents and complies with the requirements of HIPAA. The administrator shall include a representative of a physician organization, hospital organization, non-physician provider organization and a payer organization on the data release review committee.

Proposed Changes to the CO APCD Data Submission Guide

Member attributed PCP NPI
• Assignment of PCP/NPI to members (when available) allows for reporting accurate attribution for state and federal programs such as HCPF ACC, SIM, TCPI, CPCi, CPCi+.

Total monthly premium amount
• Without the premium information, CIVHC can’t do any analysis to determine what may be driving rises in premium costs. By marrying cost of care with premiums, CIVHC will be able to do more comprehensive analysis of rising premiums, utilization and cost of care.

Premium flag
• Without the premium information, CIVHC can’t do any analysis to determine what may be driving rises in premium costs. By marrying cost of care with premiums, CIVHC will be able to do more comprehensive analysis of rising premiums, utilization and cost of care.

NDC code on inpatient claims
• CIVHC currently cannot identify medications prescribed in the hospital and costs associated with those medications. CIVHC has had requests to understand what is being prescribed in hospitals (opioids, for example) and can’t fulfill it. Also, being able to analyze any inpatient pharmacy costs in general would be extremely beneficial.

Self-funded claim indicator, claim level
• Benchmarking for self-insured vs. other markets isn’t possible right now, and research and analysis of cost/utilization of dental services. CIVHC only has it at the eligibility file level right now which makes it difficult to match it back to the claims and conduct research and analysis.
Some Coloradans Pay Significantly More than Medicare for the Same Service
Commercial insurance payments for hip and knee replacement are as much as 232% higher, or $55,000 more than Medicare.

Recent national studies have revealed little to no correlation between Medicare and commercial payer health care spending in the same region. In particular, areas like Grand Junction, Colorado, historically praised for their low cost to treat Medicare populations, have come under recent scrutiny for higher than average commercial health care costs relative to other areas in the state and nation.

Discrepancies in health care spending across payers have led to inquiries regarding the drivers of cost variation between the public and private sector.

The Colorado All Payer Claims Database (CO APCD) provides a unique opportunity to analyze payments for specific services by payer type and identify areas of cost savings potential.

Price variation for hip and knee joint replacement in particular has become a recent focus for Medicare, which is aiming to reduce spending and improve quality by paying hospitals one “bundled” price for the entire episode of care (e.g., the surgical procedure and all post-acute care up to 90 days).

Joint replacements are not a Medicare issue alone as over 1.2 million people across the U.S. in 2014 had a knee replacement or a total or partial hip replacement resulting in $18 billion in costs to the health care system. The cost of a knee replacement for commercially insured Coloradans varies from $19,000 - $48,000, while hip replacement costs can be as low as $18,000 or as high as $40,000 across Colorado hospitals.
These figures raise questions such as:

- Why are joint replacement costs for commercially insured Coloradans in the Northeast $55,000, or 232% more than for Medicare recipients in the same region, and so much more than their geographically comparable neighbors in the southeast?
- How are providers in Denver and Colorado Springs able to keep costs for their commercially insured population much lower at approximately 78% more than Medicare?

Such dramatic fluctuations suggest that higher-cost regions have opportunities to explore what drives increased spending locally, and to investigate what practices lower-cost regions use to keep costs down.

Sources:

Hepatitis C is a liver infection caused by the Hepatitis C virus (HCV) and is transmitted through the blood. For some people, HCV is a short-term illness, but for 70% - 85% of people who become infected, it becomes a serious, long-term, chronic infection. The majority of infected persons might not be aware of their infection because they are not clinically ill. HCV is now the leading infectious disease killer in the US, claiming approximately 20,000 American lives in 2014.

Below is a snapshot of HCV prevalence in Colorado for 2013-2014 using claims data from the Colorado All Payer Claims Database (CO APCD). Data reflects Coloradans with claims filed through commercial payers (excluding self-insured lines of business), Medicaid, and Medicare Advantage. The largest age demographic diagnosed is the baby-boomer generation (51-71 years old) with the majority of individuals living in urban parts of the state. In spite of new, easy to administer treatment options that essentially eliminate symptoms, many Coloradans are still not receiving any treatment for HCV.

Although the release of Sovaldi and other curative HCV drugs in recent years have eliminated the complexity and length of treatment, the vast majority of those diagnosed in Colorado remain untreated. In 2014, only 0.005% of individuals diagnosed with HCV moved from conventional treatment methods to new. Understanding what is available and making treatment affordable and accessible is the first step toward reducing HCV in Colorado.
Utilization Spot Analysis: Free Standing Emergency Departments
July 2016

How Coloradans are using these new facilities and the potential cost implications

Free Standing Emergency Departments (FSED) are designed to provide similar levels of emergency care as their hospital-based ED counterparts. Consistent with national trends, Colorado’s FSEDs are primarily located in affluent suburban areas relatively close to urgent care centers and traditional emergency departments.

Proponents of FSEDs explain that these facilities provide communities essential access to emergency care. Opponents argue that due to their stand-alone buildings and similarity to non-emergency facilities, it is possible for consumers to mistake an FSED for an urgent care center and wind up with an unexpectedly large bill.

When are Coloradans using FSEDs?
To inform the conversation, understand how Coloradans are using FSEDs, and explore potential cost implications, the Center for Improving Value in Health Care (CIVHC) analyzed 2014 claims data from the Colorado All Payer Claims Database (CO APCD).

Results indicate that of the top 10 reasons Coloradans sought immediate care in 2014, seven of the 10 reasons for FSED visits were for non-life threatening events. This is in contrast to three out of 10 hospital-based ED visits being non-emergent, suggesting that patients are using FSEDs in ways more similar to urgent care centers than hospital-based EDs.

Top 10 Reasons (not ordered by frequency) Colorado Patients Seek Immediate Care Across Settings (2014, Commercial Payers, CO APCD)

- URGENT CARE: Common Cold, Urinary Tract Infection, Open Wound on Finger(s), Sore Throat, Bronchitis, Ear Infection, Other, Cough, Strep Throat, Sinus Infection, Pain in Limb
- FREE STANDING ED: Common Cold, Urinary Tract Infection, Open Wound on Finger(s), Sore Throat, Bronchitis, Ear Infection, Sprain/Strain of Ankle, Fever, Unspecified Viral Infection, Abdominal Pain
- HOSPITAL-BASED ED: Common Cold, Urinary Tract Infection, Open Wound on Finger(s), Sudden Loss of Consciousness, Head Injury, Headache, Chest Pain, Other, Abdominal Pain, Other, Abdominal Pain

Blue indicates non-life threatening conditions based on the National Institutes of Health’s guidelines for emergency care, Red indicates a potentially life-threatening condition requiring immediate attention.
Cost Implications of Using Emergency Facilities for Non-Urgent Care

According to the Colorado Hospital Association, hospitals who own FSEDs are likely to charge the same amount for care at their free standing emergency departments as their hospital-based EDs.

Using median payments made by health insurance payers and any deductible, co-pay, or co-insurance paid by patients, CIVHC evaluated costs at emergency facilities (FSEDs and hospital-based EDs) compared with those at urgent care centers. Results suggest that patients could pay substantially more for treatment at emergency facilities.

Receiving care for bronchitis, for example, can cost nearly ten times more at an emergency facility. For all non-emergent conditions evaluated, the price tag at an emergency facility is at least $400 more than at urgent care centers.

**Median Treatment Costs: Emergency Facility (FSED and hospital-based ED) and Urgent Care Center (2014, Commercial Payers, CO APCD)**

<table>
<thead>
<tr>
<th>Condition</th>
<th>Median Cost Emergency Facility</th>
<th>Median Cost Urgent Care</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sprain/Strain of Ankle</td>
<td>$1,060</td>
<td>$1,000</td>
</tr>
<tr>
<td>Bronchitis</td>
<td>$980</td>
<td>$980</td>
</tr>
<tr>
<td>Open Wound on Finger(s)</td>
<td>$740</td>
<td>$740</td>
</tr>
<tr>
<td>Upper Respiratory Infection</td>
<td>$520</td>
<td>$520</td>
</tr>
<tr>
<td>Urinary Tract Infection</td>
<td>$980</td>
<td>$980</td>
</tr>
<tr>
<td>Sore Throat</td>
<td>$650</td>
<td>$650</td>
</tr>
<tr>
<td>Ear Infection</td>
<td>$760</td>
<td>$760</td>
</tr>
</tbody>
</table>

Educating Consumers

FSEDs are new players in the on-demand health care market and many consumers have simply not heard of them. It is vital to educate Coloradans regarding when and where to seek care, as well as the potential cost implications of their decisions.

Previous CO APCD analyses explored Avoidable Emergency Department Use in Colorado and the associated costs for non-emergent ED visits compared to waiting for a doctor’s office visit. Additionally, the National Institutes of Health provide detailed guidelines regarding what constitutes an emergency and when an urgent care or doctor’s visit is appropriate.

In the last two Colorado legislative sessions, General Assembly members introduced bills intending to increase consumer transparency regarding FSEDs. For numerous reasons, the bills did not pass. However, as plans are in place to build more FSEDs across the state, educating Coloradans remains critical with or without legislation.

Sources

2. Health Affairs; http://content.healthaffairs.org/content/31/4/827.full.pdf+html
4. This analysis reflects eight FSEDs identifiable in the 2014 CO APCD data and does not include all FSEDs in Colorado. The majority of Colorado FSEDs are owned by and billed under a parent hospital or system and cannot be identified in claims submitted to the CO APCD. As a result, data in this analysis for hospital-based EDs may include hospital owned FSED information.

Analysis based on Medicaid claims data in the Colorado All Payor Claims Database for female members ages 13-19, APR-DRG 540 and 560 included (cesarean and vaginal deliveries). Rates represent deliveries per 1,000 members. 2016, Center for Improving Value in Health Care, All Rights Reserved.

EpiPen Prescription Cost Trends
(Median Paid Amounts, Colorado All Payer Claims Database)

Median paid amounts based on CIVHC analysis of claims data in the Colorado All Payor Claims Database using EpiPen NDC 49502-500-02 (2-pack).
## Capabilities of the Colorado All Payer Claims Database

<table>
<thead>
<tr>
<th>Type of Information</th>
<th>What You Can’t Do With Claims Alone</th>
<th>What You Can’t Do With the CO APCD Currently*</th>
<th>What You CAN Do With the CO APCD Right Now</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Claim Type and Specifics</strong></td>
<td>• Denied claims  • Un-adjudicated claims</td>
<td>• Substance abuse claims (including Marijuana); dependent on Federal rule change (42 CFR, Part 2) and local payer participation  • Worker’s Compensation claims; does not require rule change, but dependent on payer participation</td>
<td>• Adjudicated medical, pharmacy, provider-level, and member-level claims  • Behavioral health claims (in process, not currently available)  • Dental claims**</td>
</tr>
<tr>
<td><strong>Insurance Type</strong></td>
<td>• Services provided to uninsured or self-pay</td>
<td>• Services provided through TriCare, the Veterans Administration, Indian Health Service, Federal Employee Health Benefits (FEHB) or other Federally sponsored programs (other than Medicare)</td>
<td>• Medicare Fee-for-Service (FFS)  • Medicare Advantage  • Health First Colorado (Colorado’s Medicaid Program)  • Commercial Payer  • Dual Eligible (Medicare/Medicaid or two or more commercial health plans e.g. primary and supplemental) ***  • Non-ERISA based self-insured employer plans  • Voluntarily submitted ERISA-based self-insured employer plans</td>
</tr>
<tr>
<td><strong>Plan Details</strong></td>
<td>• Plan benefit design information (high deductible, premium information, etc.)</td>
<td>• Plan benefit design information (high deductible, etc., premium information)</td>
<td>• Payer line of business (Commercial, Health First Colorado, Medicare FFS, Medicare Advantage)  • Payer names for Commercial (Anthem, Humana, etc.)  • Connect for Health Colorado product and metallic levels: Gold, Silver and Bronze  • Commercial product line (PPO, HMO, etc.)  • Benefits richness, e.g., ratio of plan paid to total allowed amount</td>
</tr>
<tr>
<td><strong>Payments</strong></td>
<td>• Costs for services paid for out of pocket or without submission of a claim  • Premiums paid by an employer or member  • Administrative fees  • Back-end payment amounts (i.e. Medicaid receives rebates from pharmaceutical companies for use of certain drugs)  • Retroactive payments from the provider to CMS or vice versa</td>
<td>• Capitation fees and provider incentive payments</td>
<td>• Charged amount  • Total Allowed Amount (amount paid by both the payer and the patient)  • Plan paid amount  • Member liability in total and specific breakouts of:  • Coinsurance  • Deductible  • Co-pay</td>
</tr>
</tbody>
</table>
### Capabilities of the Colorado All Payer Claims Database

<table>
<thead>
<tr>
<th>Type of Information</th>
<th>What You Can’t Do With Claims Alone</th>
<th>What You Can’t Do With the CO APCD Currently*</th>
<th>What You CAN Do With the CO APCD Right Now</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Providers</strong></td>
<td>• Referrals between providers</td>
<td></td>
<td>• Provider, organization, or facility name</td>
</tr>
<tr>
<td></td>
<td>• Provider network analysis</td>
<td></td>
<td>• Taxonomy (provider specialty)</td>
</tr>
<tr>
<td></td>
<td>• Provider affiliation (i.e. hospital owned)</td>
<td></td>
<td>• National Provider Identifier (national standard identification number for providers)</td>
</tr>
<tr>
<td></td>
<td>(i.e. CMS payments to Critical Access Hospitals after cost report submission)</td>
<td></td>
<td>• Provider office address</td>
</tr>
<tr>
<td><strong>Service Site</strong></td>
<td>• Pharmacy chain name</td>
<td></td>
<td>• Place of service code (ER, Home Health, Hospice, Urgent Care, Hospital, Long-term Care, etc.)</td>
</tr>
<tr>
<td></td>
<td>• Free-standing Emergency Department claims billed under parent hospital</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Member details</strong></td>
<td>• Identify claims by employer name – requestor must provide group policy number</td>
<td></td>
<td>De-identified member information:</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>• Unique member and person ID</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>• Gender</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>• Age</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>• 3-digit zip</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Protected Health Information <em>(PHI only available after detailed review by Data Release Review Committee for compliance with HIPAA/HITECH and CO APCD rules)</em>:</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>• Names (first, last, middle)</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>• Street Address</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>• City</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>• Zip</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>• DOB</td>
</tr>
<tr>
<td><strong>Medical History</strong></td>
<td>• Personally reported medical history (i.e. had hysterectomy 15 years ago, or family history of breast cancer)</td>
<td></td>
<td>• Identify an individual’s diagnoses, labs or tests performed, cost of care, pharmacy, provider, and history of accessing the health care system (facilities/providers/physician offices, etc.) from 2009 forward for most major payers</td>
</tr>
<tr>
<td><strong>Diagnosis, Service and Preventive Services</strong></td>
<td>• Results of lab tests – information not included in claims</td>
<td>• Mental health/substance abuse diagnosis (see above, pending behavioral health organization submission through HCPF/Medicaid, commercial payer willingness and Federal rule change)</td>
<td>• Chronic disease prevalence, service utilization and cost to treat information (asthma, diabetes, etc.)</td>
</tr>
<tr>
<td></td>
<td>• Preventive services, screenings, etc. that are not paid for by an insurance payer (Health Fair)</td>
<td></td>
<td>• Evaluate effectiveness of programs not covered fully by insurance (i.e. palliative care, nutritional meals, care coordination, etc.)</td>
</tr>
</tbody>
</table>
## Capabilities of the Colorado All Payer Claims Database

<table>
<thead>
<tr>
<th>Type of Information</th>
<th>What You Can’t Do With Claims Alone</th>
<th>What You Can’t Do With the CO APCD Currently*</th>
<th>What You CAN Do With the CO APCD Right Now</th>
</tr>
</thead>
</table>
| **Pharmacy**        | Screenings, out-of-pocket flu shots, etc.) | Pharmacy chain identification (i.e. Walmart, Walgreens, etc.) | • Service-specific price information by region and provider group/facility (cost for knee replacement, imaging services, office visits, etc.)  
• Preventive care and screening rates and associated costs (breast cancer screening, colonoscopy, mammography, annual preventive services, etc.)  
• Prescriptions reimbursed by insurance – allowed amount and utilization by patient residence, trends  
• Drug Trade Name, specific strength and dosage form based on NDC code on the pharmacy file (not on the claim)  
• Dosage information; days supply/number of pills or other units  
• Drugs administered during inpatient hospital stays****  
• Medication adherence rates and trends |
| **Diagnostic Testing & Labs** | • Prescriptions issued but not filled  
• Drugs received through discount program that does not have a claim associated  
• 100% self-pay medications | • Specific results of labs or other diagnostic tests. | • Cost and utilization for people receiving labs or diagnostic test that generate a claim. |
| **Quality of Care** | • Identify clinical outcomes of treatment or specific services provided | | • Process quality measures (National Quality Forum, etc.) – i.e. standards of care such as appropriate testing for diabetes patients and other "proxy" measures  
• Readmissions, observation stays  
• Hospital-acquired infections/conditions  
• Potentially avoidable costs – based on episode analytics |

* Possible with a change to the Data Submission Guide and/or legislative rule or partner collaboration  
** Currently in Quality Assurance and Validation testing  
*** Available with new data warehouse vendor in 2017  
**** Will be included in the next Data Submission Guide, available fall of 2017
## CO APCD Data Requests Fulfilled, Calendar Year 2016

<table>
<thead>
<tr>
<th>Stakeholder Type</th>
<th>Scholarship Recipient</th>
<th>Project Purpose</th>
<th>Product Type</th>
<th>HIPAA Regulation Pursuant to Approval</th>
<th>DUA in Place</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Payer</strong></td>
<td></td>
<td>Build a credible data to provide benchmarks for use in improving the existing small employer and large employer value-based arrangements with physicians and hospitals.</td>
<td>Limited Data Set</td>
<td>Data released under multi-party business associate agreements consistent with HITECH</td>
<td>Yes</td>
</tr>
<tr>
<td><strong>Non Profit</strong></td>
<td></td>
<td>Help Coloradans make informed decisions and improve transparency by analyzing the cost of specialty prescription drugs.</td>
<td>Standard Report</td>
<td>N/A aggregated de-identified data only</td>
<td>Yes</td>
</tr>
<tr>
<td><strong>Non Profit</strong></td>
<td>Yes</td>
<td>The data from this request will be used to determine if, how, and to what extent providing integrated physical and mental healthcare services is reducing healthcare expenditures for clients of this integrated care program.</td>
<td>Limited Data Set</td>
<td>Data released under multi-party business associate agreements consistent with HITECH</td>
<td>Yes</td>
</tr>
<tr>
<td><strong>Hospital</strong></td>
<td>Yes</td>
<td>This stakeholder leverages CO APCD data to assess variation in care for high risk populations in which our services must interface with other health systems, such as home health, mental health services, durable medical equipment, pharmacy, other ancillary services and community providers.</td>
<td>Fully-Identifiable Data Set</td>
<td>Data released under multi-party business associate agreements consistent with HITECH</td>
<td>Yes</td>
</tr>
<tr>
<td><strong>Non Profit</strong></td>
<td></td>
<td>Help Coloradans make informed decisions and improve transparency by analyzing the cost of specialty prescription drugs.</td>
<td>Standard Report</td>
<td>N/A aggregated de-identified data only</td>
<td>Yes</td>
</tr>
<tr>
<td><strong>Vendor</strong></td>
<td>Yes</td>
<td>To significantly impact sepsis morbidity and mortality by leading state initiatives to build sepsis awareness and best practice treatment.</td>
<td>Custom Report</td>
<td>Data released under multi-party business associate agreements consistent with HITECH</td>
<td>Yes</td>
</tr>
<tr>
<td><strong>State Agency</strong></td>
<td>Yes</td>
<td>This stakeholder will use the data to ensure Medicaid is the payer of last resort.</td>
<td>Fully-Identifiable Data Set</td>
<td>Data released under multi-party business associate agreements consistent with HITECH</td>
<td>Yes</td>
</tr>
<tr>
<td><strong>State Agency</strong></td>
<td>Yes</td>
<td>This stakeholder is using CO APCD data to determine the usual and customary commercial rates for a select set of services.</td>
<td>De-Identified Data Set</td>
<td>N/A aggregated de-identified data only</td>
<td>Yes</td>
</tr>
<tr>
<td><strong>State Agency</strong></td>
<td>Yes</td>
<td>This project will be used to study and analyze the types of users who are enrolled in the Colorado Indigent Care Program and to make recommendations to the Cost Commission.</td>
<td>Limited Data Set</td>
<td>Data released under multi-party business associate agreements consistent with HITECH</td>
<td>Yes</td>
</tr>
<tr>
<td><strong>State Agency</strong></td>
<td>Yes</td>
<td>To find common solutions to workforce data needs and form effective collaborations for the collection, management, sharing, and distribution of health professional workforce data among members of the Health Professional Workforce Data Consortium.</td>
<td>Limited Data Set</td>
<td>Data released under multi-party business associate agreements consistent with HITECH</td>
<td>Yes</td>
</tr>
<tr>
<td><strong>State Agency</strong></td>
<td>Yes</td>
<td>Identifying rates of diabetes medication adherence by county.</td>
<td>Limited Data Set</td>
<td>Data released under multi-party business associate agreements consistent with HITECH</td>
<td>Yes</td>
</tr>
<tr>
<td>Stakeholder Type</td>
<td>Scholarship Recipient</td>
<td>Project Purpose</td>
<td>Product Type</td>
<td>HIPAA Regulation Pursuant to Approval</td>
<td>DUA in Place</td>
</tr>
<tr>
<td>------------------</td>
<td>-----------------------</td>
<td>------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
<td>-------------------</td>
<td>------------------------------------------------------------------------------------------------------</td>
<td>--------------</td>
</tr>
<tr>
<td>State Agency</td>
<td>Yes</td>
<td>To obtain baseline data to estimate the number of CO residents utilizing pre-exposure prophylaxis (PrEP) for the prevention of HIV infection using the drug Truvada, and to provide stakeholder with an aggregate number of HIV tests conducted by facility and facility type to satisfy grant requirements from Federal funders.</td>
<td>Limited Data Set</td>
<td>Data released under multi-party business associate agreements consistent with HITECH</td>
<td>Yes</td>
</tr>
<tr>
<td>State Agency</td>
<td>Yes</td>
<td>1. To determine and analyze medical service and pharmacy trends in order to ensure that rate-setting benchmarks for 2017 were accurate.  2. To evaluate the nine current geographic regions that determine residents’ insurance rates against different configurations, including one region for the entire state.  3. Analyze what is driving health care costs at a county level.</td>
<td>Limited Data Set</td>
<td>Data released under multi-party business associate agreements consistent with HITECH</td>
<td>Yes</td>
</tr>
<tr>
<td>State Agency</td>
<td>Yes</td>
<td>Looking at the impact of implementing SBIRT (Screening, Brief Intervention and Referral to Treatment) in treating patients with Mental Health and Substance Abuse disorders. Obtain a better understanding of the connection between substance use and health and how best to educate people on this to help make more informed decisions.</td>
<td>Limited Data Set</td>
<td>Data released under multi-party business associate agreements consistent with HITECH</td>
<td>Yes</td>
</tr>
<tr>
<td>State Agency</td>
<td>Yes</td>
<td>Financially analyze, evaluate, and model claims data to support the Colorado SIM project, focusing on the integration of behavioral health care services with physical health care services in primary care settings.</td>
<td>Limited Data Set</td>
<td>Data released under multi-party business associate agreements consistent with HITECH</td>
<td>Yes</td>
</tr>
<tr>
<td>Non Profit</td>
<td></td>
<td>Development of a comprehensive decision-support tool to help customers find a health insurance plan.</td>
<td>Standard Report</td>
<td>N/A aggregated de-identified data only</td>
<td>Yes</td>
</tr>
<tr>
<td>State Agency</td>
<td>Yes</td>
<td>To investigate the correlation (if any) between location and cost of certain, high volume services/procedures.</td>
<td>Custom Report</td>
<td>Data released under multi-party business associate agreements consistent with HITECH</td>
<td>Yes</td>
</tr>
<tr>
<td>Academic</td>
<td></td>
<td>Inform policy, improve the health of exchange patients by investigating the impact of Colorado’s health exchange on healthcare utilization, and explore how variation in premiums across the state is affected by the interaction of market structure, selection, and location.</td>
<td>Limited Data Set</td>
<td>Data released under multi-party business associate agreements consistent with HITECH</td>
<td>Yes</td>
</tr>
<tr>
<td>Vendor</td>
<td></td>
<td>This stakeholder used the CO APCD data in its Prometheus platform for Episodes of Care to drive payment reform initiatives in the United States.</td>
<td>De-Identified Data Set</td>
<td>N/A aggregated de-identified data only</td>
<td>Yes</td>
</tr>
<tr>
<td>Non Profit</td>
<td></td>
<td>Help Coloradans make informed decisions and improve transparency by analyzing the cost of specialty prescription drugs.</td>
<td>Standard Report</td>
<td>N/A aggregated de-identified data only</td>
<td>Yes</td>
</tr>
<tr>
<td>Non Profit</td>
<td>Yes</td>
<td>To identify cost and prevalence of HCV in different payer groups to help advocacy efforts with regards to cost of treatment.</td>
<td>Custom Report</td>
<td>Data released under multi-party business associate agreements consistent with HITECH</td>
<td>Yes</td>
</tr>
<tr>
<td>Stakeholder Type</td>
<td>Scholarship Recipient</td>
<td>Project Purpose</td>
<td>Product Type</td>
<td>HIPAA Regulation Pursuant to Approval</td>
<td>DUA in Place</td>
</tr>
<tr>
<td>------------------</td>
<td>-----------------------</td>
<td>-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
<td>------------------------------</td>
<td>--------------------------------------------------------------------------------</td>
<td>--------------</td>
</tr>
<tr>
<td><strong>Hospital</strong></td>
<td></td>
<td>The objective of the outmigration report is to inform the stakeholder of patient migration trends that are going away from their own facility, and to produce demographic data and pricing information that can be used to identify cost savings initiatives.</td>
<td>Standard Report</td>
<td>N/A aggregated de-identified data only</td>
<td>Yes</td>
</tr>
<tr>
<td><strong>Non Profit</strong></td>
<td></td>
<td>Help Coloradans make informed decisions and improve transparency by analyzing the cost of specialty prescription drugs.</td>
<td>Standard Report</td>
<td>N/A aggregated de-identified data only</td>
<td>Yes</td>
</tr>
<tr>
<td><strong>Non Profit</strong></td>
<td></td>
<td>Help Coloradans make informed decisions and improve transparency by analyzing the cost of specialty prescription drugs.</td>
<td>Standard Report</td>
<td>N/A aggregated de-identified data only</td>
<td>Yes</td>
</tr>
<tr>
<td><strong>Non Profit</strong></td>
<td></td>
<td>This project implemented standard methods to measure variation in the total cost of care and use of health care services at the practice level across different regions of the U.S. to help physicians identify ways to improve quality and lower costs. Using a standard methodology, participating RHICs were able to evaluate which regions have the highest and lowest total costs and the primary factor driving those costs – e.g., utilization or prices.</td>
<td>Data released under multi-party business associate agreements consistent with HITECH</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td><strong>Non Profit</strong></td>
<td>Yes</td>
<td>To look at ED visits and potentially avoidable costs in northwest Colorado, Grand, Jackson, Moffat, Rio Blanco, and Routt Counties. Please see the attached excel spreadsheet for zip codes.</td>
<td>Custom Report</td>
<td>Data released under multi-party business associate agreements consistent with HITECH</td>
<td>Yes</td>
</tr>
<tr>
<td><strong>Non Profit</strong></td>
<td></td>
<td>Help Coloradans make informed decisions and improve transparency by analyzing the cost of specialty prescription drugs.</td>
<td>Standard Report</td>
<td>N/A aggregated de-identified data only</td>
<td>Yes</td>
</tr>
<tr>
<td><strong>Non Profit</strong></td>
<td>Yes</td>
<td>To identify the relationship between customized nutrition and the overall health and well-being of individuals, a relationship that reduces healthcare costs through fewer hospital readmissions, fewer complications, and reduced overall utilization.</td>
<td>Limited Data Set</td>
<td>Data released under multi-party business associate agreements consistent with HITECH</td>
<td>Yes</td>
</tr>
<tr>
<td><strong>Non Profit</strong></td>
<td>Yes</td>
<td>Use CO APCD data to identify areas to reduce inappropriate ED use through implementation of “upstream” interventions, which will connect health care entities with community services.</td>
<td>Custom Report</td>
<td>Data released under multi-party business associate agreements consistent with HITECH</td>
<td>Yes</td>
</tr>
<tr>
<td><strong>Vendor</strong></td>
<td></td>
<td>To provide payers and providers with actionable analytics in order to improve care coordination for the Colorado residents involved in the Colorado Comprehensive Primary Care Initiative.</td>
<td>Fully-Identifiable Data Set</td>
<td>Data released under multi-party business associate agreements consistent with HITECH</td>
<td>Yes</td>
</tr>
<tr>
<td><strong>Non Profit</strong></td>
<td></td>
<td>In order to provide insight to the variation on utilization and cost for high volume procedures/services, this stakeholder developed an employer-based analysis showing a Boulder employer’s median paid amounts compared to the Denver and Boulder markets.</td>
<td>Custom Report</td>
<td>Data released under multi-party business associate agreements consistent with HITECH</td>
<td>Yes</td>
</tr>
<tr>
<td><strong>State Agency</strong></td>
<td>Yes</td>
<td>Analysis of cost, utilization and claim volume for stand-alone EDs in the State of Colorado.</td>
<td>Custom Report</td>
<td>Data released under multi-party business associate agreements consistent with HITECH</td>
<td>Yes</td>
</tr>
<tr>
<td>Stakeholder Type</td>
<td>Scholarship Recipient</td>
<td>Project Purpose</td>
<td>Product Type</td>
<td>HIPAA Regulation Pursuant to Approval</td>
<td>DUA in Place</td>
</tr>
<tr>
<td>------------------</td>
<td>-----------------------</td>
<td>----------------</td>
<td>--------------</td>
<td>--------------------------------------</td>
<td>--------------</td>
</tr>
<tr>
<td>Academic</td>
<td>Yes</td>
<td>The overall goal of the research is to improve outcomes for children with TBI in Colorado and elsewhere. The knowledge gap that this project will address is that little is known about the medical needs of children with TBI who suffer a TBI, are hospitalized, and return to their community.</td>
<td>Limited Data Set</td>
<td>Data released under multi-party business associate agreements consistent with HITECH</td>
<td>Yes</td>
</tr>
<tr>
<td>Academic</td>
<td>Yes</td>
<td>This study will examine the role of health insurance in access to genetic tests for breast cancer patients.</td>
<td>Fully-Identifiable Data Set</td>
<td>Data released under multi-party business associate agreements consistent with HITECH</td>
<td>Yes</td>
</tr>
<tr>
<td>Academic</td>
<td>Yes</td>
<td>1) Validate methods to identify low-value cardiac stress tests (i.e., tests that provide no benefit to patients and can sometimes lead to patient harm), and 2) identify effective ways to reduce low-value stress tests, thereby improving patient outcomes and reducing healthcare expenditures.</td>
<td>Fully-Identifiable Data Set</td>
<td>Data released under multi-party business associate agreements consistent with HITECH</td>
<td>Yes</td>
</tr>
<tr>
<td>Academic</td>
<td>Yes</td>
<td>Examine the effect of the ACC program’s care coordination on post-discharge care utilization as compared to Medicaid clients that are enrolled in the traditional Medicaid program. The project aims to answer the following research question: What is the effect of the ACC on post-discharge care utilization in relationship to the reduction of 30-day hospital readmissions?</td>
<td>Limited Data Set</td>
<td>Data released under multi-party business associate agreements consistent with HITECH</td>
<td>Yes</td>
</tr>
</tbody>
</table>